

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3156294
<b>Application Number:</b>	10799205
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7411
<b>Title of Invention:</b>	Block trading system and method providing price improvement to aggressive orders
<b>First Named Inventor/Applicant Name:</b>	Henri Waelbroeck
<b>Customer Number:</b>	9629
<b>Filer:</b>	Steven D. Underwood/Gisele Richardson
<b>Filer Authorized By:</b>	Steven D. Underwood
<b>Attorney Docket Number:</b>	61165-5001
<b>Receipt Date:</b>	15-APR-2008
<b>Filing Date:</b>	11-MAR-2004
<b>Time Stamp:</b>	16:04:25
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$460
RAM confirmation Number	1375
Deposit Account	500310
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		61165_5001RespOA111507.pdf	356859	yes	9
			d8d02254d3746aeb1768fc7d343359cc a34bc80e		

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Extension of Time		1	1	
	Amendment - After Non-Final Rejection		2	9	

## Warnings:

## Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8190	no	2
			26b519a5a9fab169b5cd1abbb1180e71 35a38c85		

## Warnings:

## Information:

Total Files Size (in bytes):			365049
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.